

ITGC (Information Technology General Controls) Auditor – Job Description

1. Regulatory IT Audits:

Conduct Information Technology audits in alignment with the guidelines and compliance requirements of regulatory bodies such as:

- **RBI (Reserve Bank of India)**
- **IRDAI (Insurance Regulatory and Development Authority of India)**
- **SEBI (Securities and Exchange Board of India)**
- **NSDL/CDSL (Depositories)**

Understand and evaluate IT control environments of financial institutions and other regulated entities.

2. Understanding of ITGC Domains:

Possess foundational knowledge and practical exposure in the following IT audit areas:

- **Program Change Management:** Review controls around system changes, version control, approval processes, and audit trails.
- **Program Development:** Evaluate software development life cycle (SDLC) controls, including secure coding practices and documentation.
- **User Management:** Assess access control mechanisms, user provisioning and de-provisioning, role-based access, and segregation of duties.
- **Computer Operations:** Verify backup processes, batch processing controls, job scheduling, and incident management.
- **Network Management:** Check firewall configurations, antivirus controls, patch management, and vulnerability assessment practices.

3. Report Writing and Documentation:

- Draft high-quality audit reports that are clear, concise, and aligned with regulatory expectations.
- Document audit observations, control gaps, and recommendations in a structured format.
- Ensure all audit findings are backed by sufficient evidence and comply with the format prescribed by regulators.